

Semi-Progressions

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Let $g(n) \geq 0$ be a function. A sequence of k positive integers, $a_1 < a_2 < \dots < a_k$, is called a k -term semi-progression for $g(n)$ provided the diameter of the set of differences, $\text{diam}\{a_{j+1} - a_j \mid j = 1, 2, \dots, k-1\}$, does not exceed $g(k)$. A set A of

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similar to the earlier definition of having property Q_1 (containing arbitrarily long quasi-progressions of bounded diameter.) For unbounded functions g the property $SP(g)$ is quite new and this paper examines its relation to several other properties each of which is a generalization of the property AP of containing arbitrarily long arithmetic progressions. © 1996 Academic Press, Inc.

1. INTRODUCTION

In [1] several generalizations of the property “AP” of a set of natural numbers containing arbitrarily long arithmetic progressions were considered. A familiarity with [1] is assumed. In the present paper another such generalization is presented and its place in the hierarchy of these properties is considered. Let $g(n)$ be an arbitrary non-negative function defined on the natural numbers. A sequence of k positive integers, $a_1 < a_2 < \dots < a_k$, is called a k -term semi-progression for $g(n)$ (denoted $k - SP(g)$) provided the diameter of the set of differences, $\{a_{j+1} - a_j \mid j = 1, 2, \dots, k-1\}$, does not exceed $g(k)$. In other words, the sequence $\{a_j \mid 1 \leq j \leq k\}$ takes the form

$$\begin{aligned} a_2 &= a_1 + d + r_1 \\ a_3 &= a_2 + d + r_2 \\ &\vdots \\ a_k &= a_{k-1} + d + r_{k-1}, \end{aligned}$$

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where d is a non-negative integer and each r_i satisfies $0 \leq r_i \leq g(k)$. Note that the defining condition for a semi-progression depends on the length, k , of the sequence. If $g(n)$ is a constant function with value d , then this definition coincides with that in [1] of being a k -term quasi-progression of diameter d , a k -QP(d). For a given $g(n)$, we will say that a set, A , of integers has property SP(g), if, for infinitely many k , A contains a k -SP(g). (It seems natural to require only infinitely many k here rather than all k or all large k . One reason is that a t -term subsequence of a k -SP(g) may not be a t -SP(g).) We note that our sequences k -SP(g) are similar to, but different from, those of Landman and Greenwell [3].

We observe that $AP \Rightarrow SP(g)$ for any g since the diameter of the difference set of an arithmetic progression is 0. The property, QP, of containing arbitrarily long quasi-progressions does not imply SP(g) if $g(n)$ is a bounded function. However, if $\lim g(n) = \infty$, then it is clear that $QP \Rightarrow SP(g)$. We will assume throughout that g is unbounded.

If $g(n)$ increases very rapidly then the property SP(g) may not even imply the weakest property considered in [1], namely, DW (containing arbitrarily long descending waves). For example, if $g(n) = 2^n$, then $A = \{2^i \mid i = 1, 2, 3, \dots\}$ (which has no 3-DW) does have property SP(g) as $2, 4, 8, \dots, 2^k$ is a k -SP(g). Normally, we will assume that $g(n)$ is bounded above by a polynomial. In this case we will show in Section 2 that $SP(g) \Rightarrow DW$.

Trivially, if $g(n) \leq h(n)$, then $SP(g) \Rightarrow SP(h)$. But, in general, the property SP(g) is quite sensitive to the function g . For example, the set of squares, $\{i^2 \mid i = 1, 2, 3, \dots\}$, clearly possesses property SP($2n - 4$) since the sequence $1^2, 2^2, \dots, k^2$ has largest difference of $k^2 - (k-1)^2 = 2k - 1$ and smallest difference equal to 3. We will show in the next paragraph that the squares have property SP($2n - C$) for any positive constant C . In a forthcoming paper we will prove the better result that the squares have property SP($(1.5 + \varepsilon)n$). Whether or not the squares have property SP(n) seems a difficult question.

To prove that the set of squares has property SP($2n - C$), we may proceed as follows: Let $k > j > 2$ and set

$$t = j + (j-1) + (j-2) + \dots + 2 + 1 = \frac{j(j+1)}{2}, \quad s = k - j.$$

Consider the following k -term progression of squares:

$$j^2, (j + (j-1))^2, (j + (j-1) + (j-2))^2, \dots, t^2, (t+1)^2, (t+2)^2, \dots, (t+s)^2.$$

If k is large with respect to j then the largest difference is $(t+s)^2 - (t+s-1)^2 = 2t + 2s - 1$. We show that the smallest difference is the

$(j-1)$ th: $t^2 - (t-1)^2 = 2t-1$. For this we need only look at differences among the first j terms. The r th difference is

$$\begin{aligned} d(r) &= (j + (j-1) + \cdots + (j-r+1) + (j-r))^2 \\ &\quad - (j + (j-1) + \cdots + (j-r+1))^2 \\ &= j(j+1)(j-r) - (j-r)^3. \end{aligned}$$

Differentiating twice with respect to r we obtain $d''(r) = -6(j-r) < 0$. Thus the least value of $d(r)$ is either $d(1) = (j-1)(3j-1)$ or $d(j-1) = j(j+1) - 1$ and the latter of these is smaller for $j \geq 3$. Hence the diameter of the difference set is $2s = 2k - 2j$ so that property $\text{SP}(2n - C)$ is satisfied if j is held fixed greater than $C/2$.

We conjecture that, whenever $g(n)$ is bounded above by a polynomial, $\text{SP}(g(n)) \Rightarrow \text{SP}(g(n) - C)$. But this is not true in general. For, taking A to be the set of powers of 2, we calculate that the k -term initial segment $1, 2, 4, \dots, 2^{k-1}$ has difference set diameter $= 2^{k-2} - 1$ so that A has $\text{SP}(2^{k-2} - 1)$. On the other hand, it is not hard to see that the diameter of the difference set in *any* k -progression, $2^{b_1} < 2^{b_2} < \cdots < 2^{b_{k-1}} < 2^{b_k}$, in A is equal to

$$\begin{aligned} &2^{b_k} - 2^{b_{k-1}} - (2^{b_2} - 2^{b_1}) \\ &\geq (2^{k+s} - 2^{k+s-1}) - (2^{s+2} - 1) \quad (s = b_k - k) \\ &= 2^{k+s-1} - 2^{s+2} + 1 \\ &= 2^{k-3} 2^{s+2} - 2^{s+2} + 1 \\ &= (2^{k-3} - 1) 2^{s+2} + 1 \\ &\geq 4(2^{k-3} - 1) + 1 \geq 2^{k-2} - 1. \end{aligned}$$

Hence A does not have $\text{SP}(2^{k-2} - 1 - 1)$.

Finally, we note, in connection with Erdős' conjecture ($\sum_{a \in A} (1/a) = \infty \Rightarrow A$ has AP), that $\sum_{a \in A} (1/a) = \infty \Rightarrow A$ has $\text{SP}(g)$ for any g which satisfies $\sum_{n=1}^{\infty} (1/g(n)) < \infty$ (just look at initial segments). Therefore, an interesting conjecture is: $\sum_{a \in A} (1/a) = \infty \Rightarrow A$ has $\text{SP}(n)$.

2. HIERARCHY

In [1] was proved that $\text{AP} \Rightarrow \text{QP} \Rightarrow \text{CP} \Rightarrow \text{C} \Rightarrow \text{DW}$ and that none of these implications is reversible. In this section we will show that, under suitable conditions, $\text{SP}(g(n))$ lies between QP and DW but is incomparable with CP and C. Precisely, we have already noted that $\text{QP} \Rightarrow \text{SP}(g)$,

provided g is unbounded, and we will prove, under the assumption that $g(n) \leq p(n)$, where $p(n)$ is a polynomial, that $SP(g) \Rightarrow DW$, that this implication is not reversible, and that, for any $g(n)$, $CP \not\Rightarrow SP(g)$. Further, under the assumption that $g(n) \geq n$, we will show that $SP(g) \not\Rightarrow C$. It follows from these that $SP(g) \not\Rightarrow CP$, if $g(n) \geq n$, and $C \not\Rightarrow SP(g)$, for all $g(n)$.

We do not know, for small g , say for example, $g(n) = \log n$, whether or not $SP(g) \Rightarrow CP$ or C .

THEOREM 1. *If $g(n) \leq p(n)$, where $p(n)$ is a polynomial, then $SP(g) \Rightarrow DW$.*

Proof. Let A be a set of positive integers which has property $SP(g)$. We show that A has property DW by considering two cases:

Case 1. For arbitrarily large k , A contains a $k - SP(g)$, a_1, a_2, \dots, a_k , where

$$\begin{aligned} a_2 &= a_1 + d + r_1 \\ a_3 &= a_2 + d + r_2 \\ &\vdots \\ a_k &= a_{k-1} + d + r_{k-1}, \end{aligned} \tag{1}$$

$0 \leq r_i \leq g(k)$, and $d \geq kg(k)$.

Let t be a given positive integer. We may assume $k \geq t(t+1)/2$. Define

$$w(j) = k - \frac{(j+1)(j+2)}{2} + 1 \quad (j = 0, 1, \dots, t-1).$$

Let

$$\begin{aligned} b_t &= a_{w(0)} = a_k \\ b_{t-1} &= a_{w(1)} = a_{k-2} \\ &\vdots \\ b_{t-j} &= a_{w(j)} \\ &\vdots \\ b_1 &= a_{w(t-1)}. \end{aligned}$$

Now $\{b_i\}$ is a $t - DW$ in A as we now show that for $j = 1, 2, \dots, t-2$,

$$b_{t-(j-1)} - b_{t-j} \leq b_{t-j} - b_{t-(j+1)}. \tag{2}$$

The left side of (2) is

$$\begin{aligned}
 a_{w(j-1)} - a_{w(j)} &= (j+1)d + r_{w(j)} + r_{w(j)+1} + \cdots + r_{w(j)+j} \\
 &\leq (j+1)d + (j+1)g(k) \\
 &= (j+2)d - d + (j+1)g(k) \\
 &< (j+2)d,
 \end{aligned}$$

where the last step follows from $(j+1)g(k) \leq (t-1)g(k) < (t(t+1)/2)g(k) \leq kg(k) \leq d$. On the other hand, $(j+2)d \leq b_{t-j} - b_{t-(j+1)}$ since this difference is the sum of $j+2$ differences of the form $d + r_i$.

Before stating Case 2 we recall that it follows from Corollary 1 to Theorem 5 in [1] that, if the set A does not contain any t -DW, then, for any $\varepsilon > 0$, $|A \cap \{n+1, n+2, \dots, n+m\}| < m^\varepsilon$ for all n and all sufficiently large m .

Case 2. Suppose, for all large k for which there is a k -SP(g), a_1, a_2, \dots, a_k , in A , that we have, in Eqs. (1), $d < kg(k)$.

We have, for these k ,

$$\begin{aligned}
 a_k - a_1 + 1 &= a_{k-1} + d + r_{k-1} - a_1 + 1 \\
 &= a_{k-2} + 2d + r_{k-1} + r_{k-2} - a_1 + 1 \\
 &\vdots \\
 &= a_1 + (k-1)d + r_{k-1} + \cdots + r_1 - a_1 + 1 \\
 &\leq (k-1)d + (k-1)g(k) + 1 \\
 &< (k-1)kg(k) + (k-1)g(k) + 1 \\
 &\leq (k-1)kp(k) + (k-1)p(k) + 1 \\
 &< k^D,
 \end{aligned}$$

for some constant $D > 1$. Thus $k > (a_k - a_1 + 1)^{1/D}$ and

$$\begin{aligned}
 |A \cap \{(a_1 - 1) + 1, (a_1 - 1) + 2, \dots, (a_1 - 1) + (a_k - a_1 + 1)\}| \\
 \geq k > (a_k - a_1 + 1)^{1/D}.
 \end{aligned}$$

If A does not contain long descending waves, then this last inequality contradicts the lemma stated just before this case.

THEOREM 2. *There exists a set A such that A has DW, but for no polynomial, $p(n)$, does A have $\text{SP}(p)$.*

Proof. According to [1, p. 84], there is an infinite set $A = \{a_1, a_2, a_3, \dots\}$ which possesses property DW and such that the set of differences $\{a_{i+1} - a_i \mid i = 1, 2, \dots\}$ is precisely the set of powers of 2 without repetition. It follows that if $b_1 < b_2 < \dots < b_k$ is any k -term sequence in A , then the largest difference is at least 2^{k-2} and so the diameter of the difference set must be at least 2^{k-3} . The theorem follows easily.

THEOREM 3. *For any $g(n)$, $\text{CP} \not\approx \text{SP}(g)$.*

Proof. Let $p(n)$ be a strictly increasing function such that $g(n) \leq p(n)$. We may also assume that p increases quickly enough so that for all $t > 1$, $p(t^6) > t^3 p(t^3)$. It suffices to prove that $\text{CP} \not\approx \text{SP}(p)$. Let $H(n)$ be a function which satisfies the following growth conditions:

$$\begin{aligned} H(t+1) &> 2(H(t) + tp(t^6) + tp(t^3)) \\ H(\lceil t^{1/3} \rceil) &> p(t). \end{aligned}$$

We freely borrow ideas from the proof that $\text{CP} \not\approx \text{QP}$ in [1, pp. 84–85]. In particular we use J. Justin's sequence, $\{z_i\}$, of zeros and ones which does not contain five consecutive blocks of equal composition [2].

For $t \geq 1$, let

$$B(t) = \left\{ H(t) + jp(t^6) + \left(\sum_{i=1}^j z_i \right) p(t^3) \mid j = 1, 2, \dots, t \right\}.$$

The j th difference of this t -term progression is equal to $p(t^6)$ or $p(t^6) + p(t^3)$, depending on whether $z_{j+1} = 0$ or 1, respectively. Hence, for each t , $B(t)$ is a t -CP(2) so that the set $A = \bigcup_{t=1}^{\infty} B(t)$ has property CP. We will show that A does not have property $\text{SP}(p)$.

Let $t(k)$ be an integer such that, for all large k , $k^{1/3} < t(k) < 2k^{1/3}$. Now assume that, for infinitely many k , there is a k -SP(p) in A , say $G = \{b_1, b_2, \dots, b_k\}$. We first show that, for sufficiently large k , G cannot intersect three distinct $B(t)$, where $t > t(k)$. Let $t(k) < t_1 < t_2 < t_3$ and suppose that $B(t_i) \cap G \neq \emptyset$ ($i = 1, 2, 3$). Set $b_r = \max(G \cap B(t_2))$ and $b_s \in G \cap B(t_1)$. Note that $b_{r+1} \in G \cap B(q)$, where $q \geq t_2 + 1$. The diameter of the difference set of G is

$$\begin{aligned} &\geq (b_{r+1} - b_r) - (b_{s+1} - b_s) \geq (b_{r+1} - b_r) - (b_r - b_s) \\ &= b_{r+1} - 2b_r + b_s > H(t_2 + 1) - 2(H(t_2) + t_2 p(t_2^6) + t_2 p(t_2^3)) + H(t_1) \\ &> H(t_1) > H(t(k)) > H(\lceil k^{1/3} \rceil) > p(k). \end{aligned}$$

This contradicts G being a k -SP(p).

Now $|B(1) \cup B(2) \cup \dots \cup B(t(k))| = t(k)(t(k) + 1)/2 \leq (t(k))^2 \leq 4k^{2/3}$. Since $|G| = k$, which, for large k , is much greater than $4k^{2/3}$, it follows that six consecutive terms of G , say $b_i, b_{i+1}, \dots, b_{i+5}$, are contained in some $B(t)$, where $t > t(k)$. Consider two consecutive differences among these six terms. We have for suitable $u > v > w$ (where $t \geq u$)

$$\begin{aligned} p(k) &\geq |b_{j+2} - 2b_{j+1} + b_j| \\ &= |H(t) + up(t^6) + (z_1 + \dots + z_u)p(t^3) \\ &\quad - 2(H(t) + vp(t^6) + (z_1 + \dots + z_v)p(t^3)) \\ &\quad + H(t) + wp(t^6) + (z_1 + \dots + z_w)p(t^3)| \\ &= |(u + w - 2v)p(t^6) + ((z_{v+1} + \dots + z_u) - (z_{w+1} + \dots + z_v))p(t^3)|. \end{aligned}$$

Now $k < (t(k))^3 < t^3$ so that $p(k) < p(t^3)$. Hence,

$$1 > \left| (u + w - 2v) \frac{p(t^6)}{p(t^3)} + ((z_{v+1} + \dots + z_u) - (z_{w+1} + \dots + z_v)) \right|$$

and it follows, since $p(t^6)/p(t^3) > t^3$ and both $|(u + w - 2v)|$ and $|(z_{v+1} + \dots + z_u) - (z_{w+1} + \dots + z_v)|$ are less than t , that these last two numbers are both zero. Thus, as in [1], Justin's sequence must contain five consecutive blocks of equal composition. This contradiction completes the proof.

THEOREM 4. *If g satisfies $g(n) \geq n$, then $\text{SP}(g) \not\approx C$.*

Proof. It is sufficient to prove that $\text{SP}(n) \not\approx C$. Let d_1 be a positive integer and

$$d_m > 2(m-1)d_{m-1} + m(m+1) \quad \text{for } m = 2, 3, 4, \dots \quad (3)$$

For $m = 1, 2, \dots$, let $S_m = \{d_m + 1, 2d_m + 3, \dots, md_m + m(m+1)/2\}$. Set $S = \bigcup_{m=1}^{\infty} S_m$. For each $k \geq 1$ the set S_k is a k -SP(n) since $(id_k + i(i+1)/2) - ((i-1)d_k + (i-1)i/2) = d_k + i$, where $2 \leq i \leq k$. Thus S has property SP(n).

We proceed to show that S does not have property C. In fact, we show that S contains no 2-cube. Assume to the contrary that $[a_0, y_1, y_2]$ is a 2-cube in S . Write

$$\begin{aligned} a_0 &= \beta d_i + (\beta(\beta + 1))/2, & 1 \leq \beta \leq i, \\ a_0 + y_1 &= \alpha d_j + (\alpha(\alpha + 1))/2, & 1 \leq \alpha \leq j, \\ a_0 + y_2 &= \delta d_q + (\delta(\delta + 1))/2, & 1 \leq \delta \leq q, \\ a_0 + y_1 + y_2 &= \gamma d_p + (\gamma(\gamma + 1))/2, & 1 \leq \gamma \leq p. \end{aligned}$$

Clearly $j \geq i$, $p \geq q$, and $p \geq j$. We also note that if $j = i$, then $\alpha > \beta$; if $p = q$, then $\gamma > \delta$; and if $p = j$, then $\gamma > \alpha$.

If $p > j$, we must have $p > q$ for otherwise we have

$$\begin{aligned}
 0 &= (a_0 + y_1 + y_2) - (a_0 + y_2) - (a_0 + y_1) + a_0 \\
 &= \gamma d_p + \frac{\gamma(\gamma+1)}{2} - \delta d_q - \frac{\delta(\delta+1)}{2} - \alpha d_j - \frac{\alpha(\alpha+1)}{2} + \beta d_i + \frac{\beta(\beta+1)}{2} \\
 &= (\gamma - \delta) d_p - \alpha d_j - \frac{\alpha(\alpha+1)}{2} + \left(\frac{\gamma(\gamma+1)}{2} - \frac{\delta(\delta+1)}{2} + \beta d_i + \frac{\beta(\beta+1)}{2} \right) \\
 &\geq d_p - j d_j - \frac{j(j+1)}{2} \geq d_p - (p-1) d_{p-1} - \frac{(p-1)p}{2} > 0.
 \end{aligned}$$

The last step follows from (3).

Suppose now $p > j$ and $p > q$. Similarly, we have

$$\begin{aligned}
 0 &= (a_0 + y_1 + y_2) - (a_0 + y_2) - (a_0 + y_1) + a_0 \\
 &= \gamma d_p + \frac{\gamma(\gamma+1)}{2} - \delta d_q - \frac{\delta(\delta+1)}{2} - \alpha d_j - \frac{\alpha(\alpha+1)}{2} + \beta d_i + \frac{\beta(\beta+1)}{2} \\
 &> d_p - q d_q - \frac{q(q+1)}{2} - j d_j - \frac{j(j+1)}{2} \\
 &\geq d_p - (p-1) d_{p-1} - \frac{(p-1)p}{2} - (p-1) d_{p-1} - \frac{(p-1)p}{2} \\
 &= d_p - 2(p-1) d_{p-1} - (p-1)p > 0.
 \end{aligned}$$

Hence, we have $p = j$. Now assume $p > q$. Again,

$$\begin{aligned}
 0 &= (a_0 + y_1 + y_2) - (a_0 + y_2) - (a_0 + y_1) + a_0 \\
 &= \gamma d_p + \frac{\gamma(\gamma+1)}{2} - \delta d_q - \frac{\delta(\delta+1)}{2} - \alpha d_j - \frac{\alpha(\alpha+1)}{2} + \beta d_i + \frac{\beta(\beta+1)}{2} \\
 &> (\gamma - \alpha) d_p - (p-1) d_{p-1} - \frac{(p-1)p}{2} > 0.
 \end{aligned}$$

Therefore, $p = q = j$, $\alpha < \gamma$, and $\delta < \gamma$. Now assume $i < j$. First

$$\gamma d_p + \frac{\gamma(\gamma+1)}{2} - \delta d_q - \frac{\delta(\delta+1)}{2} = y_1 = \alpha d_j + \frac{\alpha(\alpha+1)}{2} - \beta d_i - \frac{\beta(\beta+1)}{2}.$$

Thus,

$$\beta d_i + \frac{\beta(\beta+1)}{2} = (\alpha + \delta - \gamma) d_p + \frac{1}{2} (\alpha(\alpha+1) + \delta(\delta+1) - \gamma(\gamma+1)). \quad (4)$$

If $\alpha + \delta - \gamma \leq 0$, then $\alpha(\alpha+1) + \delta(\delta+1) - \gamma(\gamma+1) < 0$ and so $a_0 < 0$, which is impossible. If $\alpha + \delta - \gamma > 0$, then the RHS of (4) $\geq d_p - p(p+1)/2 > 2(p-1)d_{p-1} + p(p+1)/2$, while the LHS of (4) $\leq (p-1)d_{p-1} + p(p+1)/2$, which leads to a contradiction.

Hence, $i = j = p = q$. From $(a_0 + y_1 + y_2) - (a_0 + y_2) = y_1 = (a_0 + y_1) - (a_0)$ we get

$$(\gamma - \delta) d_p + \frac{\gamma(\gamma+1)}{2} - \frac{\delta(\delta+1)}{2} = (\alpha - \beta) d_p + \frac{\alpha(\alpha+1)}{2} - \frac{\beta(\beta+1)}{2}. \quad (5)$$

If $\gamma - \delta > \alpha - \beta$, then

$$((\gamma - \delta) - (\alpha - \beta)) d_p = \frac{\alpha(\alpha+1)}{2} - \frac{\beta(\beta+1)}{2} - \frac{\gamma(\gamma+1)}{2} + \frac{\delta(\delta+1)}{2}. \quad (6)$$

Here the LHS of (6) $\geq d_p > p(p+1)$ and the RHS of (6) $\leq p(p+1)$, a contradiction. A similar contradiction is obtained when $\gamma - \delta < \alpha - \beta$. Hence, $\gamma - \delta = \alpha - \beta$.

Now (5) becomes $\gamma(\gamma+1) - \delta(\delta+1) = \alpha(\alpha+1) - \beta(\beta+1)$ which reduces to $\gamma^2 - \delta^2 = \alpha^2 - \beta^2$, or $(\gamma + \delta)(\gamma - \delta) = (\alpha + \beta)(\alpha - \beta)$. If $\gamma - \delta = 0$, then

$$y_1 = \gamma d_p + \frac{\gamma(\gamma+1)}{2} - \delta d_p - \frac{\delta(\delta+1)}{2} = 0,$$

a contradiction. Hence, $\gamma - \delta \neq 0$, so that $\gamma + \delta = \alpha + \beta$. Thus, finally, we obtain $\gamma = \alpha$ and $\delta = \beta$ which is the contradiction that concludes the proof.

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